

Webinar on

The ABC's Of Completing The Annual ACH Rules Compliance Audit

Learning Objectives

- Identify the details of the ACH audit for the ODFI (and how this pertains to their Originators), Define general audit requirements
- Outline the audit requirements for the third-party sender – including the new requirement for registration with NACHA
- List what the third-party service provider is responsible for in regard to the annual ACH audit
- Provide tips to help the auditor performing the annual ACH audit – to ensure compliance with the NACHA operating rules
- Review best practices and requirements for additional audit requirements (as defined by NACHA)
- Overview of questions to ask while performing the annual ACH rules compliance audit

This webinar will explain the Audit process according to Appendix 8 in the NACHA Operating Rules and what elements of your ACH program need to be checked and verified to ensure compliance with these Rules.

PRESENTED BY:

Donna K Olheiser, AAP, is the vice president of Education Services and founder of Dynamic Mastership, LLC, and holds the Accredited ACH Professional (AAP) designation (achieved in 2004).



On-Demand Webinar

Duration : 90 Minutes

Price: \$200

Webinar Description

The NACHA Operating Rules and Guidelines require that all participating depository financial institutions, third-party senders and third-party service providers that provide ACH services conduct an annual ACH audit to be performed by December 31 of each year (ACH Rules, Appendix Eight). By each participant performing the annual ACH audit, this helps to ensure that electronic payments work safely and efficiently for all users. Every financial institution, Third-Party Service Provider and Third-Party Sender that provide any function within the ACH Network is required to complete the annual ACH Audit for Rules Compliance.

This session will outline the Audit process according to Appendix 8 in the NACHA Operating Rules and what elements of your ACH program need to be checked and verified to ensure compliance with these Rules.

This session will cover the General Audit Requirements along with the specifics for the RDFI and ODFI processes and what types of questions to ask and things to look for when completing your annual ACH Rules Compliance Audit.



Areas Covered

*Topics discussed the Annual ACH Audit include
General Audit Requirements*

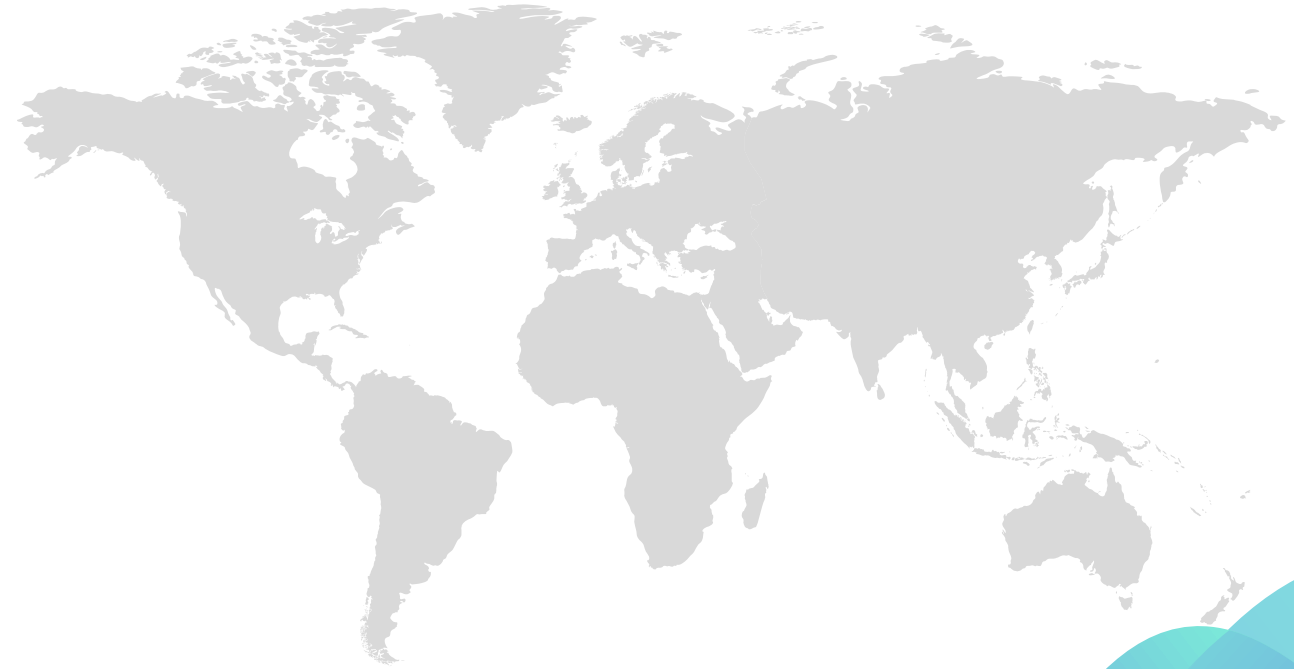
- *Agreements*
- *Previous audits*
- *Retention*

Receiving Depository Financial Institution (RDFI)

- *Prenotes*
- *NOCS*
- *Returns, stops and WSUD's*

Originating Depository Financial Institution (ODFI)

- *ODFI agreements*
- *Exposure limits*
- *Originator obligations*



Who Should Attend ?

Financial Institutions

Risk/compliance officers

Internal audit

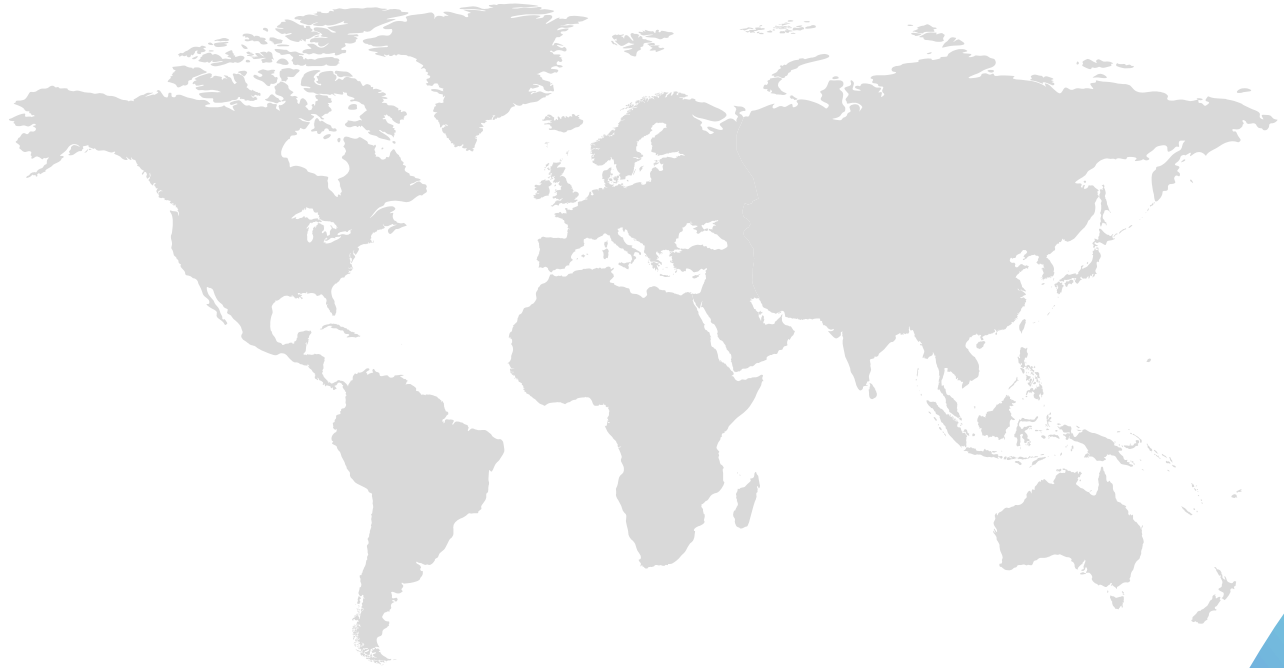
Operations

Treasury management

Credit risk managers

Loan Officers

All Accredited ACH Professional



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